### DAN HAWKE

# PRYING OPEN GOVERNMENT

### PRYING OPEN GOVERNMENT: AN INTRO TO FREEDOM OF INFORMATION



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### WHO AM I?

- Dan Hawke
- Not a lawyer. This is not legal advice.
- ▶ IT professional by day
- FOI knowledge from personal experience



Auckland Fourth Linux.conf, first-time speaker We are speaking about laws

### WHAT IS FREEDOM OF INFORMATION?

- Widespread laws allowing access to public-sector information - over 90 countries
- Allows you to request a specific document, or specific information
- > Small requests are free of charge
- There is an independent Ombudsman that can review issues

Useful for research projects, getting information for campaigns, journalism.

AUSTRALIA AND NEW ZEALAND: KEY DIFFERENCES		
	Australia	New Zealand
Name of Act	Freedom of Information Act	Official Information Act
Anybody can make requests	V	X Only "New Zealanders"
Request personal information	✓ In addition to Privacy Act	X Must use Privacy Act
Free of Charge	Five hours free	One hour free 20 pages printed

### Australian Federal law.

The one law where New Zealanders have rights in both countries, where Australians don't.

### Who:

Australia: Anybody <a href="https://www.oaic.gov.au/freedom-of-information/faqs-for-agencies/what-happens-if-a-request-doesnt-comply-with-the-requirements-under-the-freedom-of-information-act">https://www.oaic.gov.au/freedom-of-information/faqs-for-agencies/what-happens-if-a-request-doesnt-comply-with-the-requirements-under-the-freedom-of-information-act</a>

New Zealand: New Zealand citizens (including overseas), people physically present in New Zealand, and corporates with a place of business in New Zealand. [ <a href="http://www.justice.govt.nz/publications/global-publications/o/official-information-your-right-to-know">http://www.justice.govt.nz/publications/o/official-information-your-right-to-know</a>]

### Costs:

AU: Charges \$15-20/hour, 10c/page [ https://www.oaic.gov.au/freedom-of-information/faqs-for-individuals/are-there-any-charges-for-processing-a-freedom-of-information-request ]

NZ: Charges \$38/half hour, 20c/page [ http://www.justice.govt.nz/publications/global-publications/c/charging-guidelines-for-official-information-act-1982-requests/official-information-act#2 ]

# OFFICIAL INFORMATION ACT: NEW ZEALAND

One country, one (well, two) regulations - Just identify the appropriate agency.

Local Government Official Information and Meetings Act 1987 All local authorities, councils, and boards, including Chatham Islands Council.

Acknowledgements: Google Maps, as retrieved Monday 11 January 2016

### OFFICIAL INFORMATION ACT: NEW ZEALAND

- All central government agencies, state owned enterprises, departments, and ministers.
- Local governments are also bound by the Local Government Official Information and Meetings Act 1987 ("LGOIMA")

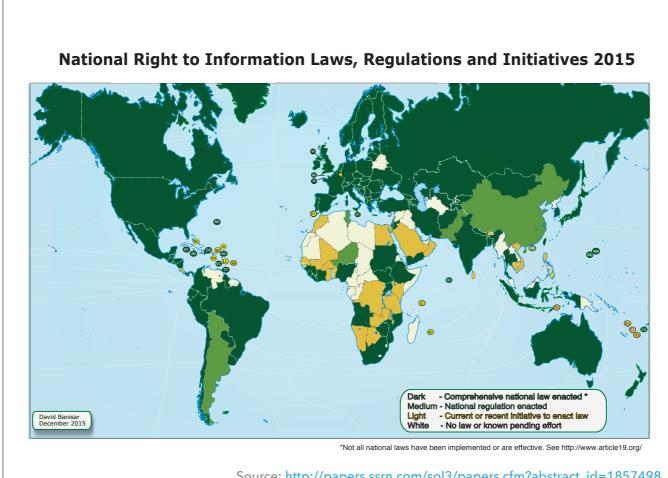
# AUSTRALIA IS CONFUSING AUSTRALIA IS CONFUSING

States and territories, plus Federal law.

### **AUSTRALIA IS CONFUSING**

- ▶ The Freedom of Information Act 1982 governs Federal agencies
- > States and Territories each have their own laws:
  - ACT: Freedom of Information Act 1989
  - NSW: The Government Information (Public Access) Act 2009 (GIPA Act)
  - NT: The Information Act and Information Amendment Act 2015
  - QLD: Right to Information Act 2009 (Qld) (RTI Act)
  - > SA: Freedom of Information Act 1991
  - TAS: Right to Information Act 2009 (RTI Act)
  - > VIC: Freedom of Information Act 1982, Freedom of Information Regulations 2009
  - WA: Freedom of Information Act 1992

Good news: All states and territories have a law.

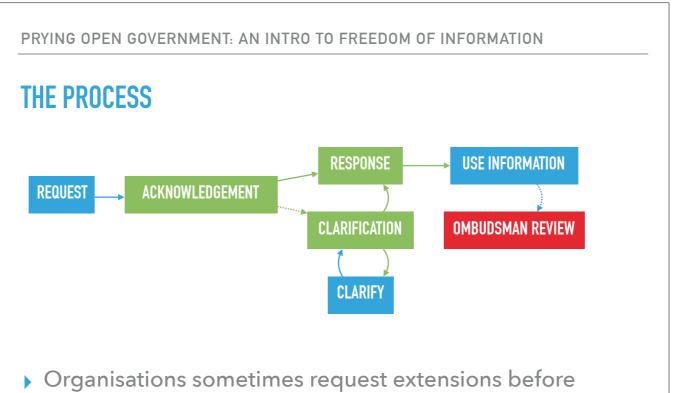


Source: <a href="http://papers.ssrn.com/sol3/papers.cfm?abstract\_id=1857498">http://papers.ssrn.com/sol3/papers.cfm?abstract\_id=1857498</a>

Countries where freedom of the press is expected tend to have freedom of information laws

### Other refs:

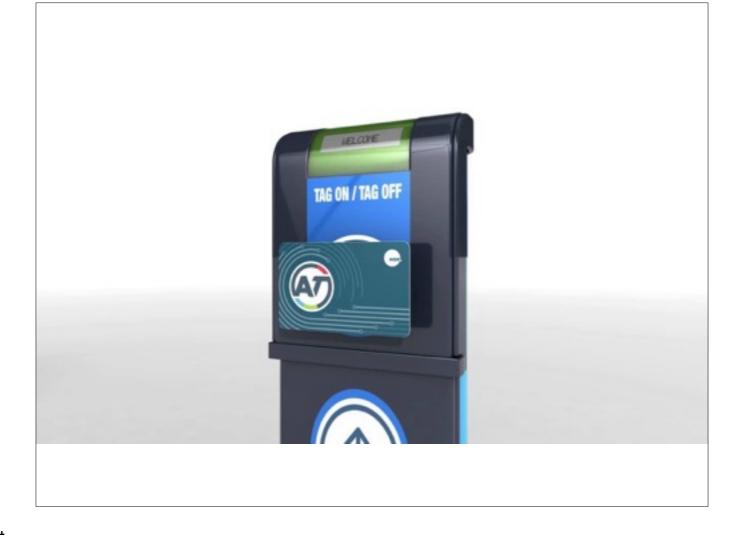
http://www.freedominfo.org/2012/10/93-countries-have-foi-regimes-most-tallies-agree/



 Organisations sometimes request extensions before providing the response.



"Duty Calls" Retrieved from xkcd.com/386 under CC:BY-NC 2.5



In addition to roads, public transport.

### **AUCKLAND TRANSPORT**

What is the AT HOP card

- A reusable prepay smart card for travel on trains, ferries and buses around Auckland.
- Save money on fares at least 20% discount off single trip cash fares (excludes NiteRider and SkyBus bus services and Waiheke ferry services). Check out AT HOP fares.
- Load a concession for discounted fares.

Source: <a href="https://at.govt.nz/bus-train-ferry/at-hop-card/">https://at.govt.nz/bus-train-ferry/at-hop-card/</a>

From web site word for word. Seems fine? No, nitpick.

### **AUCKLAND TRANSPORT**

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Source: <a href="https://at.govt.nz/bus-train-ferry/at-hop-card/">https://at.govt.nz/bus-train-ferry/at-hop-card/</a>

...the main issue is...

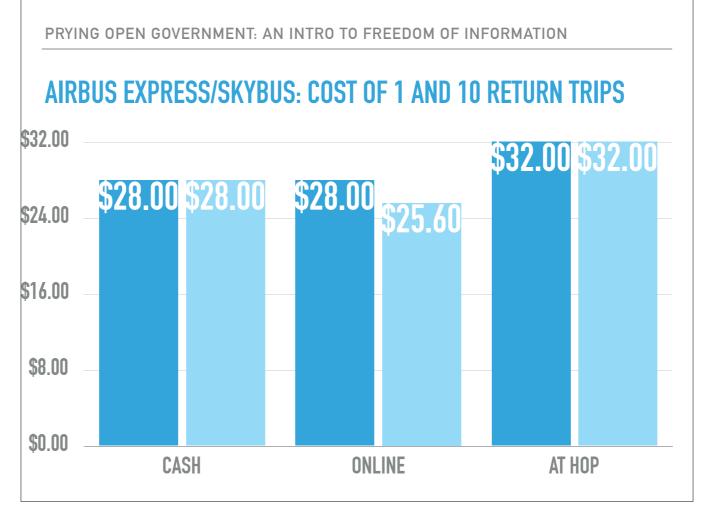
### **AUCKLAND TRANSPORT**

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- Load a concession for discounted fares.

Source: https://at.govt.nz/bus-train-ferry/at-hop-card/

Excluded from the discount.



10 trip fares shown on a 'per-trip' cost basis.

2x single fare

References: <a href="http://www.skybus.co.nz">http://www.skybus.co.nz/faq</a>

### PRYING OPEN GOVERNMENT: AN INTRO TO FREEDOM OF INFORMATION

### THE PROCESS: DAY 0 - THE REQUEST

Dear Auckland Transport,

I would like to know:

- How many trips have been made using an AT HOP card on Airbus Express?
- How many unique AT HOP cards have made a trip on Airbus Express?
- How many of these AT HOP cards made a subsequent trip on Airbus Express within one month?
- What was the cost to Auckland Transport/any other public or ratepayer funding sources for installing AT HOP card readers on the

Airbus Express service?

Yours faithfully,

Dan Hawke

Ask who understand what a unique AT HOP card is.

Request made through FYI using Alaveteli:

https://fyi.org.nz/request/1680-use-and-costs-of-at-hop-card-on-airbus-express

Trimmed slightly from its original form.

### THE PROCESS: DAY 0 - THE ACKNOWLEDGEMENT

Thank you for contacting Auckland Transport.

Your enquiry will be referred to a member of our customer services team, who will respond to you directly or refer your query to the appropriate business unit.

If you have requested feedback, we aim to respond to all correspondence within 10 working days.

If you have an urgent enquiry, please phone our call centre on 09 355 3553. The Auckland Transport call centre is open 24 hours a day, 7 days a week, including public holidays.

Kind regards
Auckland Transport
Private Bag 92250, Auckland 1142
P 09 355 3553 | F 09 355 3550
[1]www.aucklandtransport.govt.nz

This acknowledgement is generic - not specific to OIA requests.

Request made through FYI using Alaveteli:

https://fyi.org.nz/request/1680-use-and-costs-of-at-hop-card-on-airbus-express

Trimmed slightly from its original form.

### THE PROCESS: DAY 2 - CLARIFICATION

Thank you for contacting Auckland Transport on the 12th of May 2014 requesting information around the use and costs of AT Hop card on Airbus Express.

Under Section 10(2) of the LGOIMA, the information requested must be "specified with due particularity" to enable the receiver to determine a relevant response. Please therefore provide the following:

- A period of time you would like the information for and
- A clarification of what you mean by "unique AT Hop cards" in Point 2 of your request.

This information should be supplied to Auckland Transport within 20 working days. In the interim your request will remain on hold and if the information is not provided within this time the request will be closed.

Should you believe that we have not dealt with your request appropriately, you have the right in accordance with section 27(3) of the Local Government Official Information and Meetings Act 1987 to make a complaint to the Office of the Ombudsman to seek an investigation and review of the decision.

Yours faithfully

**Customer Response Team** 

### Main inclusions:

- Acknowledgement of my request date
- Reference to applicable law
- Specific requests for clarification
- Timeline for response
- Right to ombudsman review

This stops the timer.

### THE PROCESS: DAY 2 - CLARIFY

Dear Auckland Transport,

To clarify as per your request:

RE timeframe, AT Hop on Airbus has only been operating since 19 March 2014 - therefore I see no requirement to restrict this timeframe further.

RE Unique AT Hop card. I understand that a person can have one or more AT Hop cards, and cards can be either registered or unregistered. So a Unique AT Hop card would be a single registered or unregistered AT Hop card, so a user may have one or more of these. A unique card would have a different journey history and serial number.

Yours sincerely,

Dan Hawke

Less than two months data, no need to restrict.

Timer resumes

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### ...NOW WE WAIT...

New Zealand OIA and LGOIMA requests must be answered within 20 working days



Guess what day they responded? Local Government Official Information and Meetings Act 1987

Image src: <a href="http://www.freeimages.com/photo/calendar-1192688">http://www.freeimages.com/photo/calendar-1192688</a>

Freelmages.com Content License

### THE PROCESS: DAY 20 - RESPONSE

Thank you for your email dated 12 May 2014, which Auckland Transport received on the same date requesting:

- 1. How many trips have been made using an AT HOP card on Airbus Express?
- 2. How many unique AT HOP cards have made a trip on Airbus Express?
- 3. How many of these AT HOP cards made a subsequent trip on Airbus Express within one month?
- 4. What was the cost to Auckland Transport/any other public or ratepayer funding sources for installing AT HOP card readers on the Airbus Express service?
- 5. If any forecasting was done around the usage of AT HOP on Airbus Express, and comparisons to use so far, this information would also be useful.

On 14 May 2014 you further refined your request to the time period from 19 March 2014 onwards and clarified your definition around "unique" cards relating to journey history and serial numbers of the cards.

Response: 11 June

Three parts: Part 1: Reiteration.

### THE PROCESS: DAY 20 - RESPONSE

- 1. The total number of trips made using an AT HOP card on the Airbus Express since 19 March 2014 is 3,425.
- 2. The number of unique AT HOP cards utilised is 2,369.
- 3. 597 subsequent trips were undertaken on the Airbus Express within one month.
- 4. The costs for installing AT HOP readers on each bus are covered by the operator directly; there are no costs incurred by either Auckland Transport or public/ratepayer funding for installation of the readers on the buses.
- 5. No forecasting was done around the usage of AT HOP on Airbus Express. However we are currently developing Key Performance Indicators (KPIs) for AT HOP on this service.

Part 2: Actual answers. (Good thing!)

2+3 < 1 - so other trips happened also, e.g. return trip outside of one month, or more than two trips on a card.

### THE PROCESS: DAY 20 - RESPONSE

We trust the above information has addressed the matters raised however, should you believe that we have not responded appropriately to your request, you have the right in accordance with section 27(3) of the LGOIMA to make a complaint to the Office of the Ombudsman to seek an investigation and review in regard to this matter.

www.aucklandtransport.govt.nz

Part three: Your rights.

### **PUBLICATION**

Dan Hawke

Published

June 24, 2014

in Transport

### Extra Charges for Auckland Transport's AT HOP Card Users

This post was co-authored with Geniesa Tay

Since Auckland Transport introduced the Airbus Express AT HOP fares on 19 March 2014, 597 users of this service have reportedly been charged more than the allocated cash fare. This is a total of at least an extra \$2388 than if these users had paid cash return fares instead throughout the few months since the beginning of AT HOP's operations.

The AT HOP card advertises a minimum of 10% discount on all but the Airbus Express fares<sup>[1]</sup>. It is however not made evident to consumers that these fares do in

http://dan.kiwi/wp/extra-charges-for-auckland-transports-at-hop-card-users/



http://www.scoop.co.nz/stories/AK1406/S00635/extra-charges-for-auckland-transports-at-hop-card-users.htm

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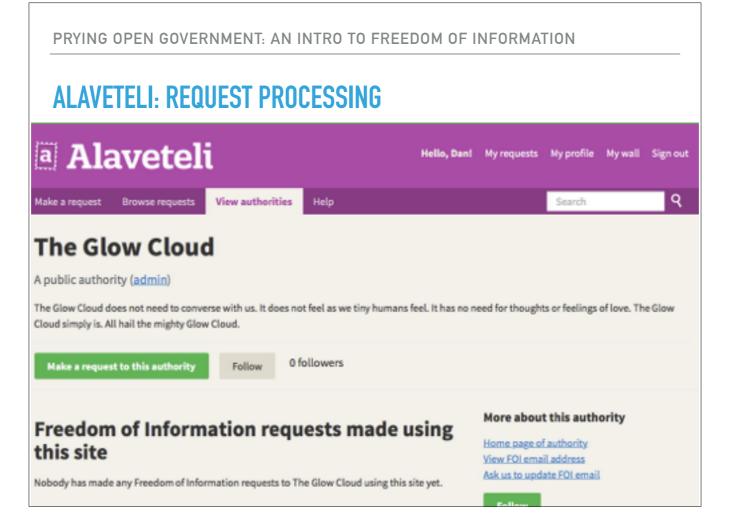
### **USE BY MEDIA**

- Statistics:
  - > Student loan debt
  - Beneficiaries
  - Economic performance indicators
- Politics
  - http://www.nzherald.co.nz/nz/news/article.cfm? c\_id=1&objectid=11247570

Includes copies of police emails to and from MP Maurice Williamson and New Zealand police. Williamson resigned as a minister after allegations of interfering with a police investigation into a businessman he was affiliated with, also party donor.

### **ALAVETELI**

- Open-source
- ▶ Ruby on Rails 3
- Postgres
- ▶ API with JSON
  - For users, administrators, and authorities responding
- Themes and colours!



Web-to-email

PRYING OPEN GOVERNMENT: AN INTRO TO FREEDOM OF INFORMATION

### **ALAVETELI: REQUEST PROCESSING**

### Make a request

To: The Glow Cloud

The Glow Cloud does not need to converse with us. It does not feel as we tiny humans feel. It has no need for thoughts or feelings of love. The Glow Cloud simply is. All hail the mighty Glow Cloud.

### Summary:

Puny Human Survival

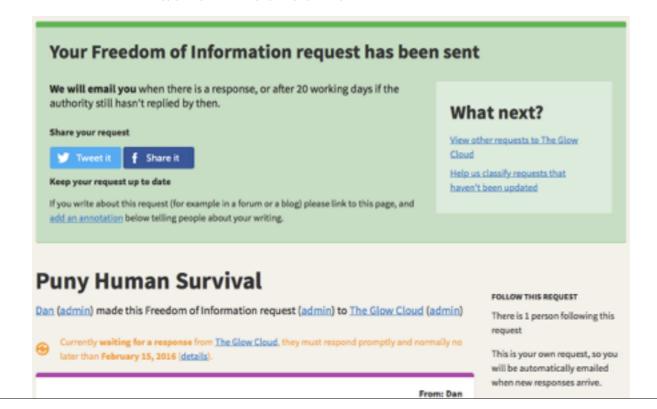
A one line summary of the information you are requesting, e.g. 'Crime statistics by ward level for Wales'

Your request:

Dear The Glow Cloud,

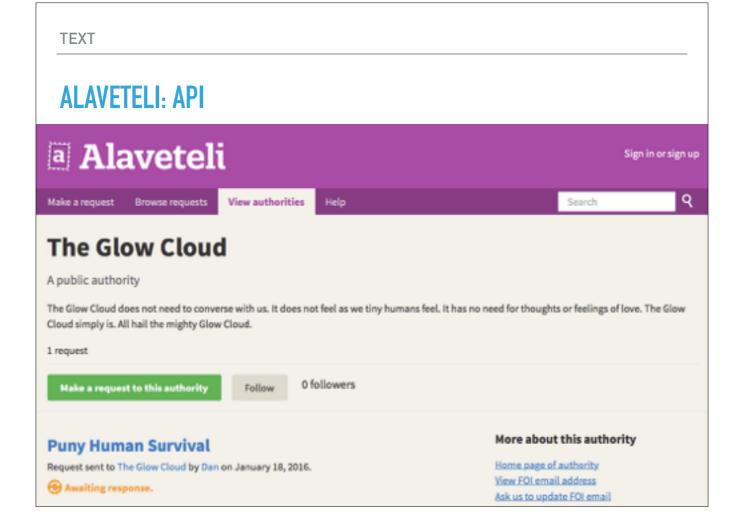
Fill in a web form

### **ALAVETELI: REQUEST PROCESSING**



### **ALAVETELI: REQUEST PROCESSING**

Blue: Alaveteli generates a new response email address for replies to go to. Green: The organisation details are specified by the Alaveteli administrator



In addition to the web page...

This is the information page for a public authority

TEXT

### **ALAVETELI: API**

- Many pages have JSON forms by appending ".json"
- For example, an authority:
- ▶ GUI at <a href="http://10.10.10.30:3000/body/the\_glow\_cloud">http://10.10.10.30:3000/body/the\_glow\_cloud</a>
- Read API at http://10.10.10.30:3000/body/the\_glow\_cloud.json

```
"id":10,
 "url_name":"the_glow_cloud",
 "name":"The Glow Cloud",
 \textbf{"short\_name"} : \texttt{""},
 "created_at":"2016-01-18T01:14:15+00:00",
 "updated_at":"2016-01-18T04:55:20+00:00",
 "home_page":"http://www.dan.kiwi",
 "notes": "The Glow Cloud does not need to converse with us. It does not feel
as we tiny humans feel. It has no need for thoughts or feelings of love. The Glo
w Cloud simply is.\r\nAll hail the mighty Glow Cloud.",
 "publication_scheme":"",
 "tags":[
 "info":{
   "requests_count":1,
   "requests_successful_count":0,
   "requests not held count":0,
   "requests overdue count":0,
   "requests_visible_classified_count":1
```

### **FURTHER READING**

- Alaveteli Software: <a href="http://alaveteli.org">http://alaveteli.org</a>
- Make a request:
  - New Zealand: <a href="https://fyi.org.nz">https://fyi.org.nz</a>
  - Australia: <a href="https://www.righttoknow.org.au">https://www.righttoknow.org.au</a>
- ▶ Me: <a href="http://dan.kiwi">http://dan.kiwi</a>
- ▶ The Glow Cloud: <a href="http://www.welcometonightvale.com">http://www.welcometonightvale.com</a>

Slides available on my web site.

### **ACKNOWLEDGEMENTS**

Open Systems Specialists: <a href="http://oss.co.nz">http://oss.co.nz</a>



- -Organisers
- -OSS for ticket and time to create this presentation
- -The New Zealand Herald for providing information about how the Media uses the law, and helping to fund the New Zealand FYI web site.

### ...Questions?

**DAN HAWKE** 

## PRYING OPEN GOVERNMENT

Local installation available

### PRYING OPEN GOVERNMENT: AN INTRO TO FREEDOM OF INFORMATION

